

Finance 2020-21

Institution: Stony Brook University (196097)

User ID: P1960973

Overview

Overview

The purpose of the IPEDS Finance component is to collect basic financial information from items associated with the institution's General Purpose Financial Statements.

Data Reporting Reminder:

- Report data to accurately reflect the time period corresponding with the IPEDS survey component, even if such reporting is seemingly inconsistent with prior-year reporting. For example, if a summer term began later than usual due to Coronavirus Pandemic postponements, continue to report using the timeframes as defined in the IPEDS instructions. NCES expects that some data reported during the 2020-21 data collection year will vary from established prior trends due to the impacts of Coronavirus Pandemic. If an error edit is triggered even when submitting accurate data, please indicate in the corresponding context box or verbally to the Help Desk that the seemingly inconsistent data are accurate and reflect the effects of Coronavirus Pandemic.

Changes to reporting for 2020-21:

Using GASB Reporting Standards:

- Screening question on pension and Postemployment Benefits Other than Pension (OPEB) has been split into two questions in General Information.
- Part E has been relabeled to become Part E-1 and new screen Part E-2 on Sources of Discounts and Allowances has been added.
- New data elements have been added to Part H to collect more detail on the change in endowments and all instances of "endowment assets" have been revised to "endowment net assets." (degree-granting public institutions only)
- New data elements for Postemployment Benefits Other than Pension (OPEB) have been added to Part M-2.
- New screen and data elements have been added to collect numerator and denominator for financial health ratios calculations in Part N. (degree-granting public institutions only)

Using FASB Reporting Standards:

- New screening question on athletics revenues has been added to General Information. (degree-granting private, not-for-profit institutions only)
- Part C has been relabeled to become Part C1 and new screen Part C2 on Sources of Discounts and Allowances has been added.
- New data elements have been added to Part H to collect more detail on the change in endowments and all instances of "endowment assets" have been revised to "endowment net assets." (degree-granting private, not-for-profit institutions only)
- New screen and data elements have been added to collect numerator and denominator for financial health ratios calculations in Part I. (degree-granting private, not-for-profit institutions only)

For-Profit Institutions:

- Part C has been relabeled to become Part C1 and new screen Part C2 on Sources of Discounts and Allowances has been added.
- New screen and data elements have been added to collect numerator and denominator for financial health ratios calculations in Part G. (degree-granting private, for-profit institutions)

Resources:

- To download the survey materials for this component: [Survey Materials](#)
- To access your prior year data submission for this component: [Reported Data](#)

If you have questions about completing this survey, please contact the **IPEDS Help Desk at (877) 225-2568**.

Finance - Public Institutions' Reporting Standard

Reporting Standard

Please indicate which reporting standards are used to prepare your financial statements:

- GASB (Governmental Accounting Standards Board), using standards of GASB 34 & 35
- FASB (Financial Accounting Standards Board)

Please consult your business officer for the correct response before saving this screen. Your response to this question will determine the forms you will receive for reporting finance data.

Finance - Public Institutions Using GASB Standards

General Information: GASB-Reporting Institutions (aligned form)

Reporting Reminder:

- To the extent possible, the finance data requested in this report should be provided from your institution's audited General Purpose Financial Statements (GPFS).
- Please refer to the instructions specific to each screen of the survey for details and references.

1. Fiscal Year Calendar

This report covers financial activities for the 12-month fiscal year: (The fiscal year reported should be the most recent fiscal year ending before October 1, 2020.)

Beginning: month/year (MMYYYY)	Month: <input type="text" value="7"/>	Year: <input type="text" value="2019"/>
And ending: month/year (MMYYYY)	Month: <input type="text" value="6"/>	Year: <input type="text" value="2020"/>

2. Audit Opinion

Did your institution receive an unqualified opinion on its General Purpose Financial Statements from your auditor for the fiscal year noted above? (If your institution is audited only in combination with another entity, answer this question based on the audit of that entity.)

- Unqualified
-  Qualified (Explain in box below)
- Don't know OR in progress (Explain in box below)

3. Reporting Model

GASB Statement No. 34 offers three alternative reporting models for special-purpose governments like colleges and universities. Which model is used by your institution?

- Business-type activities
- Governmental Activities
- Governmental Activities with Business-Type Activities

4. Intercollegiate Athletics

a) If your institution participates in intercollegiate athletics, are the expenses accounted for as auxiliary enterprises or treated as student services?

- Auxiliary enterprises
- Student services
- Does not participate in intercollegiate athletics
- Other (specify in box below)

b) If your institution participates in intercollegiate athletics, indicate the category where these revenues are included (check all that apply):

- Sales and services of educational activities
- Sales and services of auxiliary enterprises
- Does not have intercollegiate athletics revenue
- Other (specify in box below)

5. Endowment Assets

Does this institution or any of its foundations or other affiliated organizations own endowment assets ?

- No
- Yes - (report endowment assets)

6. Pension

Does your institution include defined benefit pension liabilities, expenses, and/or deferrals in its General Purpose Financial Statements?

- No
-  Yes

7. Postemployment Benefits Other than Pension (OPEB)

Does your institution include postemployment benefits other than pension (OPEB) liabilities, expenses, and/or deferrals in its General Purpose Financial Statements? (No/Yes)

- No
- Yes

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part A - Statement of Net Position Page 1

Most recent fiscal year ending before October 2020			
If your institution is a parent institution then the amounts reported in Parts A and D should include ALL of your child institutions			
Line no.		Current year amount	Prior year amount
Assets			
01	Total <u>current assets</u>	1,182,722,011	1,014,218,103
31	Depreciable <u>capital assets</u> , net of depreciation	2,558,024,380	2,503,330,266
04	Other noncurrent assets CV=[A05-A31]	344,650,920	272,454,361
05	Total <u>noncurrent assets</u>	2,902,675,300	2,775,784,627
06	Total assets CV=(A01+A05)	4,085,397,311	3,790,002,730
19	Deferred outflows of resources	236,019,796	57,302,622
Liabilities			
07	<u>Long-term debt, current portion</u>	72,874,594	67,129,918
08	Other current liabilities CV=(A09-A07)	583,080,040	478,040,344
09	Total <u>current liabilities</u>	655,954,634	545,170,262
10	<u>Long-term debt</u>	1,836,362,205	1,624,063,885
11	Other noncurrent liabilities CV=(A12-A10)	683,938,448	395,972,197
12	Total <u>noncurrent liabilities</u>	2,520,300,653	2,020,036,082
13	Total liabilities CV=(A09+A12)	3,176,255,287	2,565,206,344
20	Deferred inflows of resources	37,981,305	56,463,566
Net Position			
14	<u>Invested in capital assets, net of related debt</u>	699,298,483	784,220,507
15	<u>Restricted-expendable</u>	555,727	761,154
16	<u>Restricted-nonexpendable</u>	0	0
17	<u>Unrestricted</u> CV=[A18-(A14+A15+A16)]	407,326,305	440,653,781
18	Net position CV=[(A06+A19)-(A13+A20)]	1,107,180,515	1,225,635,442

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part A - Statement of Net Position Page 2

Most recent fiscal year ending before October 2020			
Line No.	Description	Ending balance	Prior year Ending balance
Capital Assets			
21	<u>Land and land improvements</u>	300,013,268	292,054,653
22	<u>Infrastructure</u>	201,968,612	196,436,103
23	<u>Buildings</u>	2,780,799,209	2,662,357,586
32	Equipment, including art and <u>library collections</u>	743,903,664	708,855,064
27	<u>Construction in progress</u>	221,129,907	211,853,548
	Total for Plant, Property and Equipment CV = (A21+ .. A27)	4,247,814,660	4,071,556,954
28	<u>Accumulated depreciation</u>	1,689,790,280	1,568,226,688
33	Intangible assets, net of accumulated amortization	0	0
34	Other capital assets	0	0

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part D - Summary of Changes In Net Position

Most recent fiscal year ending before October 2020			
If your institution is a parent institution then the amounts reported in Parts A and D should include ALL of your child institutions			
Line No.	Description	Current year amount	Prior year amount
01	Total revenues and other additions for this institution AND all of its child institutions	3,050,836,753	3037849924
02	Total expenses and deductions for this institution AND all of its child institutions	3,106,482,152	2943221384
03	Change in net position during year CV=(D01-D02)	<input checked="" type="checkbox"/> -55,645,399	94628540
04	<u>Net position</u> beginning of year for this institution AND all of its child institutions	1,225,635,442	-1608586183
05	<u>Adjustments to beginning net position</u> and other gains or losses CV=[D06-(D03+D04)]	<input checked="" type="checkbox"/> -62,809,528	2739593085
06	Net position end of year for this institution AND all of its child institutions (from A18)	1107180515	1225635442

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part E-1 - Scholarships and Fellowships

Most recent fiscal year ending before October 2020 Do not report Federal Direct Student Loans (FDSL) anywhere in this section.			
Line No.	Scholarships and Fellowships	Current year amount	Prior year amount
01	<u>Pell grants</u> (federal)	34,697,054	33,323,529
02	<u>Other federal grants</u> (Do NOT include FDSL amounts)	<input checked="" type="checkbox"/> 11,326,239	3,405,278
03	<u>Grants by state government</u>	28,009,399	28,399,478
04	<u>Grants by local government</u>	0	0
05	<u>Institutional grants from restricted resources</u>	4,003,106	4,194,500
06	<u>Institutional grants from unrestricted resources</u> CV=[E07-(E01+...+E05)]	68,611,892	72,416,126
07	Total revenue that funds scholarships and fellowships	146,647,690	141,738,911
Discounts and Allowances			
08	<u>Discounts and allowances</u> applied to <u>tuition and fees</u>	94,783,550	83,349,334
09	<u>Discounts and allowances</u> applied to sales and services of <u>auxiliary enterprises</u>	22,096,443	25,671,762
10	Total discounts and allowances CV=(E08+E09)	116,879,993	109,021,096
11	Net scholarships and fellowships expenses after deducting discounts and allowances CV= (E07-E10) This amount will be carried forward to C10 of the expense section.	29,767,697	32,717,815

You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part E-2 - Sources of Discounts and Allowances

Most recent fiscal year ending before October 2020				
Line No.	Source of Discounts and Allowances	Amount of Source Applied to:		
		Tuition and fees discounts & allowances	Auxiliary enterprises discounts & allowances	Total discounts & allowances
12	Pell grants (federal)	20,951,337	6,933,072	27,884,409
13	Other federal grants (Do NOT include FDSL amounts)	1,975,250	653,636	2,628,886
14	Grants by state government	28,009,399	0	28,009,399
15	Grants by local government	0	0	0
16	Endowments and gifts	2,417,220	799,890	3,217,110
17	Other institutional sources CV =[E18-(E12+E13+ ... +E16)]	41,430,344	13,709,845	55,140,189
18	Total (from Part E1 line 8, 9 and 10)	94783550	22096443	116,879,993

Part B - Revenues by Source (1)

Most recent fiscal year ending before October 2020			
Line No.	Source of Funds	Current year amount	Prior year amount
<u>Operating Revenues</u>			
01	<u>Tuition and fees, after deducting discounts & allowances</u>	273,311,224	266,121,515
Grants and contracts - operating			
02	Federal operating grants and contracts	168,096,129	165,395,872
03	State operating grants and contracts	15,768,495	14,753,095
04	Local government/private operating grants and contracts	63,166,237	68,403,667
04a	Local government operating grants and contracts	231,196	377,615
04b	Private operating grants and contracts	62,935,041	68,026,052
05	Sales and services of <u>auxiliary enterprises</u> , after deducting <u>discounts and allowances</u>	99,372,844	111,052,245
06	<u>Sales and services of hospitals</u> , after deducting <u>patient contractual allowances</u>	1,673,427,501	1,713,953,284
26	<u>Sales and services of educational activities</u>	0	0
07	<u>Independent operations</u>	0	0
08	Other sources - operating CV=[B09-(B01++B07)]	13,142,743	13,485,131
09	Total operating revenues	2,306,285,173	2,353,164,809

Part B - Revenues by Source (2)

Most recent fiscal year ending before October 2020			
Line No.	Source of funds	Current year amount	Prior year amount
<u>Nonoperating Revenues</u>			
10	Federal <u>appropriations</u>	0	0
11	State <u>appropriations</u>	523,948,407	581,018,528
12	<u>Local appropriations, education district taxes, and similar support</u>	0	0
Grants-nonoperating			
13	Federal nonoperating grants Do NOT include Federal Direct Student Loans	169,521,492	36,728,807
14	State nonoperating grants	28,009,399	28,399,478
15	Local government nonoperating grants	0	0
16	<u>Gifts, including contributions from affiliated organizations</u>	4,003,106	4,194,500
17	<u>Investment income</u>	10,607,259	12,525,512
18	Other nonoperating revenues CV=[B19-(B10+...+B17)]	4,382,194	4,648,007
19	Total nonoperating revenues	740,471,857	667,514,832
27	Total operating and nonoperating revenues CV=[B19+B09]	3,046,757,030	3,020,679,641
28	<u>12-month Student FTE from E12</u>	26,481	25,285
29	Total operating and nonoperating revenues per student FTE CV=[B27/B28]	115,054	119,465

Part B - Revenues by Source (3)

Most recent fiscal year ending before October 2020			
Line No.	Source of funds	Current year amount	Prior year amount
Other Revenues and Additions			
20	<u>Capital appropriations</u>	<input type="text" value="0"/>	0
21	<u>Capital grants and gifts</u>	<input type="text" value="4,079,723"/>	17,170,283
22	<u>Additions to permanent endowments</u>	<input checked="" type="checkbox"/> <input type="text" value="0"/>	0
23	Other revenues and additions CV=[B24-(B20+...+B22)]	0	0
24	Total other revenues and additions CV=[B25-(B9+B19)]	4,079,723	17170283
25	Total all revenues and other additions	<input type="text" value="3,050,836,753"/>	3,037,849,924

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part C-1 - Expenses by Functional Classification

Most recent fiscal year ending before October 2020 Report Total Operating AND Nonoperating Expenses in this section					
Line No.	Expense: Functional Classifications	Total amount	Prior Year Total Amount	Salaries and wages	Prior Year Salaries and wages
		(1)		(2)	
01	<u>Instruction</u>	500,451,313	511,671,838	233,166,847	240,782,950
02	<u>Research</u>	135,088,871	132,311,139	60,026,722	62,189,608
03	<u>Public service</u>	34,608,863	32,801,813	18,988,498	15,533,777
05	<u>Academic support</u>	113,262,614	113,943,685	40,736,410	39,472,886
06	<u>Student services</u>	74,901,229	64,174,556	26,784,186	25,485,325
07	<u>Institutional support</u>	135,485,978	128,149,526	63,275,869	60,001,569
10	<u>Scholarships and fellowships expenses, net of discounts and allowances (from Part E, line 11)</u>	29767697	32,717,815		
11	<u>Auxiliary enterprises</u>	137,628,341	142,899,872	27,956,790	27,563,844
12	<u>Hospital services</u>	1,861,839,631	1,760,103,197	782,700,866	715,976,852
13	<u>Independent operations</u>	0	0	0	0
14	Other Functional Expenses and deductions CV=[C19-(C01+...+C13)]	83,447,615	24,447,943	0	0
19	Total expenses and deductions	3,106,482,152	2,943,221,384	1,253,636,188	1,187,006,811

Part C-2 - Expenses by Natural Classification

Most recent fiscal year ending before October 2020			
Line No.	Expense: Natural Classifications	Total Amount	Prior year amount
19-2	Salaries and Wages(from Part C-1,Column 2 line 19)	1253636188	1,187,006,811
19-3	Benefits	629,996,908	517,011,842
19-4	Operation and Maintenance of Plant (as a natural expense)	86,286,822	82,614,815
19-5	Depreciation	126,731,156	115,459,329
19-6	Interest	76,830,294	76,195,474
19-7	Other Natural Expenses and Deductions CV=[C19-1 - (C19-2 + ... + C19-6)]	933,000,784	964,933,113
19-1	Total Expenses and Deductions (from Part C-1, Line 19)	3106482152	2,943,221,384
20-1	12-month Student FTE (from E12 survey)	26,481	25,285
21-1	Total expenses and deductions per student FTE CV=[C19-1/C20-1]	117,310	116,402

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part M-1 - Pension Information

Most recent fiscal year ending before October 2020			
Line No.	Description	Current year amount	Prior Year amount
01	Pension expense	<input checked="" type="checkbox"/> <input type="text" value="71,167,282"/>	10,759,796
02	Net Pension liability	<input checked="" type="checkbox"/> <input type="text" value="357,932,328"/>	91,394,634
03	Deferred inflows related to pension	<input checked="" type="checkbox"/> <input type="text" value="21,601,045"/>	44,368,436
04	Deferred outflows related to pension	<input checked="" type="checkbox"/> <input type="text" value="217,498,000"/>	44,894,979

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part H - Details of Endowment Net Assets

Most recent fiscal year ending before October 2020			
Include not only endowment net assets held by the institution, but any assets held by private foundations affiliated with the institution.			
Line No.	Value of Endowment Net Assets	Market Value	Prior Year Amounts
01	Value of endowment net assets at the beginning of the fiscal year	265,806,703	244,955,670
02	Value of endowment net assets at the end of the fiscal year	279,331,275	265,806,703
03	Change in value of endowment net assets CV=[H02-H01]	13,524,572	
03a	New gifts and additions	11,677,198	
03b	Endowment net investment return	2,115,557	
03c	Spending distribution for current use	-9,536,306	
03d	Other CV=[H03-(H03a+H03b+H03c)]	9,268,123	

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part N - Financial Health

Most recent fiscal year ending before October 2020		
Line No.	Description <i>(If your institution is a parent institution then the amounts reported should include ALL of your child institutions. Include amounts for the institution's FASB component unit.)</i>	Current year amount
01	Operating income (Loss) + net nonoperating revenues (expenses)	7,276,634
02	Operating revenues + nonoperating revenues	3,119,027,333
03	Change in net position	39,512,153
04	Net position	1,807,618,194
05	Expendable net assets	1,125,554,112
06	Plant-related debt	1,910,957,610
07	Total expenses	3,111,750,699

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part J - Revenue Data for the Census Bureau

Most recent fiscal year ending before October 2020						
Source and type		Amount				
		Total for all funds and operations (includes endowment funds, but excludes component units)	Education and general/independent operations	Auxiliary enterprises	Hospitals	Agriculture extension/experiment services
		(1)	(2)	(3)	(4)	(5)
01	Tuition and fees	368,094,774	368,094,774			
02	Sales and services	1,794,896,788	<input type="text" value="0"/>	121,469,287	1673427501	<input type="text" value="0"/>
03	Federal grants/contracts (excludes Pell Grants)	168,096,129	<input type="text" value="168,096,129"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>
Revenue from the state government:						
04	State appropriations, current & capital	523,948,407	<input type="text" value="466,253,896"/>	<input type="text" value="0"/>	<input type="text" value="57,694,511"/>	<input type="text" value="0"/>
05	State grants and contracts	15,768,495	<input type="text" value="15,768,495"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>
Revenue from local governments:						
06	Local appropriation, current & capital	0	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>
07	Local government grants/contracts	231,196	<input type="text" value="231,196"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>
08	Receipts from property and non-property taxes	<input type="text" value="0"/>				
09	Gifts and private grants, NOT including capital grants	<input type="text" value="8,082,829"/>				
10	Interest earnings	<input type="text" value="10,607,259"/>				

11	<u>Dividend earnings</u>	<input type="text" value="0"/>	
12	<u>Realized capital gains</u>	<input type="text" value="0"/>	

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part K - Expenditure Data for the Census Bureau

Most recent fiscal year ending before October 2020						
Category		Total for all funds and operations (includes endowment funds, but excludes component units)	Education and general/ independent operations	Auxiliary enterprises	Hospitals	Agriculture extension/ experiment services
		(1)	(2)	(3)	(4)	(5)
02	Employee benefits, total	653,089,596	339,150,493	14,728,934	299,210,169	0
03	Payment to state retirement funds (may be included in line 02 above)	153,529,231	68,204,130	4,219,352	81,105,749	0
04	Current expenditures including salaries	2,789,705,390	895,755,508	94,324,008	1,799,625,874	0
Capital outlays						
05	Construction	118,182,442	74,243,593	12,755,030	31,183,819	0
06	Equipment purchases	38,658,967	6,734,721	56,125	31,868,121	0
07	Land purchases	8,444,061	8,444,061	0	0	0
08	Interest on debt outstanding, all funds and activities	15,357,511				

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part L - Debt and Assets for Census Bureau, page 1

Most recent fiscal year ending before October 2020		
Debt		
	Category	Amount
01	Long-term debt outstanding at beginning of fiscal year	<input type="text"/>
02	Long-term debt issued during fiscal year	<input type="text"/>
03	Long-term debt retired during fiscal year	<input type="text"/>
04	Long-term debt outstanding at end of fiscal year	<input type="text"/>
05	Short-term debt outstanding at beginning of fiscal year	<input type="text"/>
06	Short-term debt outstanding at end of fiscal year	<input type="text"/>

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Part L - Debt and Assets for Census Bureau, page 2

Most recent fiscal year ending before October 2020		
Assets		
	Category	Amount
07	Total cash and security assets held at end of fiscal year in sinking or debt service funds	<input type="text"/>
08	Total cash and security assets held at end of fiscal year in bond funds	<input type="text"/>
09	Total cash and security assets held at end of fiscal year in all other funds	<input type="text"/>

 You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).

Prepared by

Prepared by

Reporting Reminders:

- The name of the preparer is being collected so that we can follow up with the appropriate person in the event that there are questions concerning the data.
- The Keyholder will be copied on all email correspondence to other preparers.
- The time it took to prepare this component is being collected so that we can continue to improve our estimate of the reporting burden associated with IPEDS.
- Please include in your estimate the time it took for you to review instructions, query and search data sources, complete and review the component, and submit the data through the Data Collection System.
- Thank you for your assistance.

This survey component was prepared by:			
<input type="radio"/>	Keyholder	<input type="radio"/>	SFA Contact
<input checked="" type="radio"/>	Finance Contact	<input type="radio"/>	Academic Library Contact
<input type="radio"/>		<input type="radio"/>	HR Contact
<input type="radio"/>		<input type="radio"/>	Other
Name:	<input type="text" value="Karen Shumar"/>		
Email:	<input type="text" value="karen.shumar@stonybrook.edu"/>		

How many staff from your institution only were involved in the data collection and reporting process of this survey component?
<input type="text" value="4.00"/> Number of Staff (including yourself)

How many hours did you and others from your institution only spend on each of the steps below when responding to this survey component? <i>Exclude the hours spent collecting data for state and other reporting purposes.</i>								
Staff member	Collecting Data Needed		Revising Data to Match IPEDS Requirements		Entering Data		Revising and Locking Data	
Your office	<input type="text" value="35.00"/>	hours	<input type="text" value="8.00"/>	hours	<input type="text" value="0.30"/>	hours	<input type="text" value="1.00"/>	hours
Other offices	<input type="text" value="2.00"/>	hours	<input type="text"/>	hours	<input type="text"/>	hours	<input type="text"/>	hours

Summary

Finance Survey Summary

IPEDS collects important information regarding your institution. All data reported in IPEDS survey components become available in the IPEDS Data Center and appear as aggregated data in various Department of Education reports. Additionally, some of the reported data appears specifically for your institution through the College Navigator website and is included in your institution's Data Feedback Report (DFR). The purpose of this summary is to provide you an opportunity to view some of the data that, when accepted through the IPEDS quality control process, will appear on the College Navigator website and/or your DFR. College Navigator is updated approximately three months after the data collection period closes and Data Feedback Reports will be available through the [Data Center](#) and sent to your institution's CEO in November 2020.

Please review your data for accuracy. If you have questions about the data displayed below after reviewing the data reported on the survey screens, please contact the IPEDS Help Desk at: 1-877-225-2568 or ipedshelp@rti.org.

Core Revenues			
Revenue Source	Reported values	Percent of total core revenues	Core revenues per FTE enrollment
Tuition and fees	\$273,311,224	21%	\$10,321
State appropriations	\$523,948,407	41%	\$19,786
Local appropriations	\$0	0%	\$0
Government grants and contracts	\$381,626,711	30%	\$14,411
Private gifts, grants, and contracts	\$66,938,147	5%	\$2,528
Investment income	\$10,607,259	1%	\$401
Other core revenues	\$21,604,660	2%	\$816
Total core revenues	\$1,278,036,408	100%	\$48,262
Total revenues	\$3,050,836,753	N/A	\$115,209

Other core revenues include federal appropriations; sales and services of educational activities; other operating and nonoperating sources; and other revenues and additions (e.g., capital appropriations, capital grants and gifts, etc.). Core revenues exclude revenues from auxiliary enterprises (e.g., bookstores, dormitories), hospitals, and independent operations. For institutions reporting in Full parent/child relationships, core revenues per FTE enrollment amounts will not be allocated to child institutions.

Core Expenses			
Expense function	Reported values	Percent of total core expenses	Core expenses per FTE enrollment
Instruction	\$500,451,313	45%	\$18,899
Research	\$135,088,871	12%	\$5,101
Public service	\$34,608,863	3%	\$1,307
Academic support	\$113,262,614	10%	\$4,277
Institutional support	\$135,485,978	12%	\$5,116
Student services	\$74,901,229	7%	\$2,828
Other core expenses	\$113,215,312	10%	\$4,275
Total core expenses	\$1,107,014,180	100%	\$41,804
Total expenses	\$3,106,482,152	N/A	\$117,310

Other core expenses include scholarships and fellowships, net of discounts and allowances, and other expenses. Core expenses exclude expenses from auxiliary enterprises (e.g., bookstores, dormitories), hospitals, and independent operations. For institutions reporting in Full parent/child relationships, core expenses per FTE enrollment amounts will not be allocated to child institutions.

Calculated value	
	Calculated value
FTE enrollment	26481

The full-time equivalent (FTE) enrollment used in this report is the sum of the institution's FTE undergraduate enrollment and FTE graduate enrollment (as calculated from or reported on the 12-month Enrollment component). FTE is estimated using 12-month instructional activity (credit and/or clock hours). All doctor's degree students are reported as graduate students.

Edit Report

Finance

Source	Description	Severity	Resolved	Options
Screen: Changes to Net Position				
Perform Edits	The calculated amount of adjustments to beginning net position and other gains or losses in Part D (line 05) is outside the expected range. Please correct your data or explain. (Error #5199)	Explanation	Yes	
Reason	Adjustments were made to Education Facility Long-Term Debt based on latest information available.			
Related Screens	Changes to Net Position			
Upload File	The calculated amount of change in net position during the year in Part D (line 03) is expected to be greater than zero. Please confirm that the data reported are correct. (Error #5202)	Confirmation	Yes	
Screen: Scholarships & Fellowships				
Perform Edits	The fiscal year for this institution exactly matches the coverage period specified in SFA (July 1 to June 30) therefore, we would expect the grant/scholarship aid awarded in SFA (\$114,079,530) to be similar to the scholarship/fellowship revenue reported in Finance (\$146,647,690). Please review your data and make any necessary corrections, or explain. (Error #5309)	Explanation	Yes	
Reason	Finance survey scholarship revenue and expenses is for scholarships provided to all students.			
Upload File	The amount reported is outside the expected range of between 1,702,639 and 5,107,917 when compared with the prior year value. Please correct your data or explain. (Error #5301)	Explanation	Yes	
Reason	Increase due to HEERF student emergency financial aid grants.			
Screen: Revenues Part 3				
Perform Edits	The amount of additions to permanent endowments reported in Part B (line 22) should not be zero or blank. Please confirm that the data reported are correct. (Error #5231)	Confirmation	Yes	
Related Screens	Revenues Part 3			
Screen: Pension Information				
Upload File	The amount reported is outside the expected range of between 6,993,868 and 14,525,724 when compared with the prior year value. Please correct your data or explain. (Error #5301)	Explanation	Yes	
Reason	Due to a decrease in the fiduciary net position of the New York State and Local Retirement System pension plan as a result of net investment losses on pension plan investments that were measured at March 31, 2020, the fiscal year of that plan.			
Upload File	The amount reported is outside the expected range of between 59,406,513 and 123,382,755 when compared with the prior year value. Please correct your data or explain. (Error #5301)	Explanation	Yes	

Reason	Due to a decrease in the fiduciary net position of the New York State and Local Retirement System pension plan as a result of net investment losses on pension plan investments that were measured at March 31, 2020, the fiscal year of that plan.			
Upload File	The amount reported is outside the expected range of between 28,839,484 and 59,897,388 when compared with the prior year value. Please correct your data or explain. (Error #5301)	Explanation	Yes	
Reason	Decrease was driven by the effects of differences between expected and actual earnings on pension investments.			
Upload File	The amount reported is outside the expected range of between 29,181,737 and 60,608,221 when compared with the prior year value. Please correct your data or explain. (Error #5301)	Explanation	Yes	
Reason	Increase mainly due to deferral of the recognition of expenses associated with net investment losses on pension plan investments that were measured at March 31, 2020, the fiscal year end of that plan.			